



Authentication and Authorisation for Research and Collaboration

WISE baseline AUP v1.0

AARC2 e-Researcher-centric policies (NA3.3)

Ian Neilson

STFC – UK Research and Innovation



UK Research
and Innovation

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Motivation

- Facilitate
 - rapid community infrastructure bootstrap and
 - ease the trust of users across infrastructures and
 - provide a consistent and more understandable enrolment for users,
- using
 - a set of immutable baseline clauses augmented by community and infrastructure specific clauses,
- endorsed by
 - the WISE Community.

Multiple revisions at WISE, IGTF, AARC2, EGI-EUDAT-WLCG meetings

Feb 2018



Feb 2019

AARC2 AUP study



AARC-I044 Implementers Guide to the WISE Baseline Acceptable Use Policy

Applying the Baseline AUP to concrete use cases may appear straightforward, but there are many edge cases and specific circumstances where it is not entirely obvious how to both achieve the aim of user-friendliness as well as be complete and practical. In this write-up, we try to give hints how to use the WISE Baseline AUP in practice in both community-first as well as 'user-first' membership management services.

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DOI: pending
Errata: none
Supersedes: none

JSPG Evolved AUP version 2 (May 2018)



By registering as a user you agree to abide by the following conditions of use which may be augmented by additional agreements which shall also apply:

- You shall only use the resources/services to perform work, or transmit or store data consistent with the stated goals, policies and conditions of use as defined by the body or bodies granting you access.
- You shall not use the resources/services for any purpose that is unlawful and not (attempt to) breach or circumvent any administrative or security controls.
- You shall respect intellectual property and confidentiality agreements.
- You shall protect your access credentials (e.g. private keys or passwords).
- You shall keep all your registered information correct and up to date.
- You shall immediately report any known or suspected security breach or misuse of the resources/services or access credentials to the specified incident reporting locations and to the relevant credential issuing authorities.
- You shall ensure that any reliance you place on the confidentiality, integrity and availability of resources/services is covered by appropriate agreements. Use without such agreements is at your own risk.
- You agree that use of your personal data shall be governed by the published privacy policies of the body or bodies granting you access, of the infrastructure and of the resource/service providers.
- You agree that the body or bodies granting you access and resource/service providers are entitled to regulate, suspend or terminate your access without prior notice and without compensation, within their domain of authority, and you shall immediately comply with their instructions.
- You are liable for the consequences of your violation of any of these conditions of use, which may include but are not limited to the reporting of your violation to your home institute and, if the activities are thought to be illegal, to appropriate law enforcement agencies.

Adopted by WISE

DRAFT WISE Baseline AUP template v1.3

When using the baseline AUP text below, curly brackets "{}" (coloured blue) indicate text which should be replaced as appropriate to the community, agency or infrastructure presenting the AUP to the user. Angle brackets "< >" (coloured green) indicate text which is optional and should be deleted or replaced as indicated. Other text should not be changed.

Acceptable Use Policy and Conditions of Use

This Acceptable Use Policy and Conditions of Use ("AUP") defines the rules and conditions that govern your access to and use (including transmission, processing, and storage of data) of the resources and services ("Services") as granted by (community, agency, or infrastructure name) for the purpose of (describe the stated goals and policies governing the intended use).

<To further define and limit what constitutes acceptable use, the community, agency, or infrastructure may optionally add additional information, rules or conditions, or references thereto, here or at the placeholder below. These additions must not conflict with the clauses 1-10 below, whose wording and numbering must not be changed.>

- You shall only use the Services in a manner consistent with the purposes and limitations described above; you shall show consideration towards other users including by not causing harm to the Services; you have an obligation to collaborate in the resolution of issues arising from your use of the Services.
- You shall only use the Services for lawful purposes and not breach, attempt to breach, nor circumvent administrative or security controls.
- You shall respect intellectual property and confidentiality agreements.
- You shall protect your access credentials (e.g. passwords, private keys or multi-factor tokens); no intentional sharing is permitted.
- You shall keep your registered information correct and up to date.
- You shall promptly report known or suspected security breaches, credential compromise, or misuse to the security contact stated below; and report any compromised credentials to the relevant issuing authorities.
- Reliance on the Services shall only be to the extent specified by any applicable service level agreements listed below. Use without such agreements is at your own risk.
- Your personal data will be processed in accordance with the privacy statements referenced below.
- Your use of the Services may be restricted or suspended, for administrative, operational, or security reasons, without prior notice and without compensation.
- If you violate these rules, you may be liable for the consequences, which may include your account being suspended and a report being made to your home organisation or to law enforcement.

<insert additional numbered clauses here >

The administrative contact for this AUP is: (email address for the community, agency, or infrastructure name)

The security contact for this AUP is: (email address for the community, agency or infrastructure security contact)

The privacy statements (e.g. Privacy Notices) are located at: (URL)

Applicable service level agreements are located at: <URLs>

WISE Baseline AUP structure



Guidance



Preamble



Purpose



Fixed Clauses



Additional Clauses (optional)



Links & References



DRAFT WISE Baseline AUP template v1.3

When using the baseline AUP text below, curly brackets "{}" (coloured blue) indicate text which should be replaced as appropriate to the community, agency or infrastructure presenting the AUP to the user. Angle brackets "<>" (coloured green) indicate text which is optional and should be deleted or replaced as indicated. Other text should not be changed.

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<To further define and limit what constitutes acceptable use, the community, agency, or infrastructure may optionally add additional information, rules or conditions, or references thereto, here or at the placeholder below. These additions must not conflict with the clauses 1-10 below, whose wording and numbering must not be changed.>

1. You shall only use the Services in a manner consistent with the purposes and limitations described above; you shall show consideration towards other users including by not causing harm to the Services; you have an obligation to collaborate in the resolution of issues arising from your use of the Services.
2. You shall only use the Services for lawful purposes and not breach, attempt to breach, nor circumvent administrative or security controls.
3. You shall respect intellectual property and confidentiality agreements.
4. You shall protect your access credentials (e.g. passwords, private keys or multi-factor tokens); no intentional sharing is permitted.
5. You shall keep your registered information correct and up to date.
6. You shall promptly report known or suspected security breaches, credential compromise, or misuse to the security contact stated below; and report any compromised credentials to the relevant issuing authorities.
7. Reliance on the Services shall only be to the extent specified by any applicable service level agreements listed below. Use without such agreements is at your own risk.
8. Your personal data will be processed in accordance with the privacy statements referenced below.
9. Your use of the Services may be restricted or suspended, for administrative, operational, or security reasons, without prior notice and without compensation.
10. If you violate these rules, you may be liable for the consequences, which may include your account being suspended and a report being made to your home organisation or to law enforcement.

<Insert additional numbered clauses here.>

The administrative contact for this AUP is: {email address for the community, agency, or infrastructure name}

The security contact for this AUP is: {email address for the community, agency, or infrastructure security contact}

The privacy statements (e.g. Privacy Notices) are located at: {URL}

Applicable service level agreements are located at: <URLs>

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This Acceptable Use Policy and Conditions of Use ("AUP") defines the rules and conditions that govern your access to and use (including transmission, processing, and storage of data) of the resources and services ("Services") as granted by {community, agency, or infrastructure name} for the purpose of {describe the stated goals and policies governing the intended use}.

<To further define and limit what constitutes acceptable use, the community, agency, or infrastructure may optionally add additional information, rules or conditions, or references thereto, here or at the placeholder below. These additions must not conflict with the clauses 1 to 10 below, whose wording and numbering must not be changed.>

1. You shall only use the Services in a manner consistent with the purposes and limitations described above; you shall show consideration towards other users including by not causing harm to the Services; you have an obligation to collaborate in the resolution of issues arising from your use of the Services.

- | | |
|-------------------|-------------------------|
| 1. Acceptable use | 6. Incident reporting |
| 2. Lawful use | 7. Liability / Reliance |
| 3. IP | 8. Personal Data |
| 4. Credentials | 9. Control measures |
| 5. Contact info. | 10. Sanctions |



2. You shall only use the Services for lawful purposes and not breach, attempt to breach, nor circumvent administrative or security controls.
3. You shall respect intellectual property and confidentiality agreements.
4. You shall protect your access credentials (e.g. passwords, private keys or multi-factor tokens); no intentional sharing is permitted.
5. You shall keep your registered information correct and up to date.
6. You shall promptly report known or suspected security breaches, credential compromise, or misuse to the security contact stated below; and report any compromised credentials to the relevant issuing authorities.
7. Reliance on the Services shall only be to the extent specified by any applicable service level agreements listed below. Use without such agreements is at your own risk.
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<Insert additional numbered clauses here.>

The administrative contact for this AUP is: {email address for the community, agency, or infrastructure name}

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The privacy statements (e.g. Privacy Notices) are located at: {URL}

Applicable service level agreements are located at: <URLs>

Scaling Acceptable Use Policy and data release

Impractical to present user 'click-through' screens on each individual service

This allows a layered approach to the construction of the AUP, where the AUP presented to the end-user (on enrolment or later) comprises both the generic JSPG-evolved version plus the community-specific additions.

The LS AAI shall present an Acceptable Use Policy also on behalf of its connected services and infrastructures.

The LS AAI operators shall present as the AUP:

- the common aims and purposes, i.e. the research or scholarship goals of the Life Sciences Research Infrastructures (in a few high-level sentences)
This text must be supplied by the Life Sciences community.
- the list of 11 (eleven) items from the Evolved JSPG AUP [JSPGAUP2]
- a notice that enrolment into specific groups or subdivisions may require the user to sign supplementary terms and conditions, and
- that in specific circumstance also specific services may ask the user to sign additional conditions of use.

If the Life Sciences community agrees to any joint clauses (do not attempt to reverse 'privacy-enhancing technologies', for instance), these should be included in the LS AAI AUP.

Community specific terms & conditions

Community specific terms & conditions

Community conditions

RI Cluster-specific terms & conditions

Also picked up by others, e.g. FH VORARLBERG

Common baseline AUP
for e-Infrastructures and Research Communities
(current draft: JSPG Evolved AUP –
leveraging comparison study and joint e-Infrastructure work)

<https://wiki.geant.org/x/P4bWBQ>

WISE Baseline AUP – and how to apply it for your Infrastructure



<https://aarc-project.eu/guidelines/aarc-i044/>

AARC-I044

- Includes the final WISE Baseline AUP text
- for both ‘community-first’ and ‘user-first’ MMS services (attribute authorities)
- examples make it concrete

Seen rapid take-up by e-Infras
(both global and national)

3. The WISE Baseline AUP

The WISE Baseline AUP¹ in its preamble and final clauses, it given below. The blue text elements should be substituted in-line, whereas the green elements are optional and need to be provided only when needed, e.g. based on the guidance in this document.

Acceptable Use Policy and Conditions of Use

This Acceptable Use Policy and Conditions of Use (“AUP”) defines the rules and conditions that govern your access to and use (including transmission, processing, and storage of data) of the resources and services (“Services”) as granted by {community, agency, or infrastructure name} for the purpose of {describe the stated goals and policies governing the intended use}.

<To further define and limit what constitutes acceptable use, the community, agency, or infrastructure may optionally add additional information, rules or conditions, or references thereto, here or at the placeholder below. These additions must not conflict with the clauses 1-10 below, whose wording and numbering must not be changed.>

1. You shall only use the Services in a manner consistent with the purposes and limitations described above; you shall show consideration towards other users including by not causing harm to the Services: you have an obligation to collaborate in the resolution of issues arising

5.2. Example

The following example shows a conventional community (the 3He SRC Collaboration) and the appropriate Acceptable Use Policy presented on enrolment

This Acceptable Use Policy and Conditions of Use (“AUP”) defines the rules that govern your access to and use (including transmission, processing, and storage of data) of the resources and services (“Services”) as granted by **the EMIN group** for the purpose of **studying short-range nucleon-nucleon correlations by means of electron-induced two-proton knockout from Helium-3**.

... follows Baseline AUP standard ten clauses ...

The administrative contact for this AUP is:

he3epp@nikhef.nl

The security contact for this AUP is:

security@nikhef.nl

The privacy statements (e.g. Privacy Notices) are located at:

<https://www.nikhef.nl/privacy>

References

- WISE AUP V1.0
 - <https://docs.google.com/document/d/1B2hkYz2xu8FYxHXw1RNuKzXiXa8t6oSbJ9BTrjceQ8o>
- Implementers Guide to the WISE Baseline Acceptable Use Policy
 - <https://aarc-project.eu/guidelines/aarc-i044/>
- AARC Policy Harmonisation wiki
 - <https://wiki.geant.org/x/H4q7Ag>
- AARC website
 - <https://aarc-project.eu/>

Thank you Any Questions?

ian.neilson@stfc.ac.uk



<https://aarc-project.eu>

